

## Helen Hurwitz

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### Work Experience

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Saint Louis University  
Associate Professor, July 2018 – present  
Assistant Professor, July 2012 – June 2018  
Instructor, August 2011 – June 2012

Freddie Mac, Mclean VA  
Sr. Financial Analyst, Debt & Derivatives Accounting Group, 2001-2003

Fannie Mae, Washington D.C.  
Financial Analyst, Treasurer's Office, 2001

### Education & Certificates

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Ph.D. in Accounting, Columbia University, New York City  
M.S. in Accounting, University of Texas, Arlington  
M.B.A., University of Maryland, College Park  
CFA Level 2 Candidate  
Certified Public Accountant, China

### Publications

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Investor sentiment and management earnings forecasts. **Solo authored.** *Journal of Business Finance & Accounting* 2017, Vol 45 (1-2).

The understatement of large negative earnings news in managers' annual guidance. **Solo authored.** *Journal of Contemporary Accounting & Economics* 2017, Vol. 13: 119-133. Press releases at Forbes, Kiplinger's, WebCPA, Accounting Today, and Investment News.

Managerial overconfidence and audit Fees, with Scott Duellman and Yan Sun. *Journal of Contemporary Accounting & Economics* 2015, Vol. 11 (2): 148-165.

Regulation Fair Disclosure and Individual Investors: Evidence from Stock Repurchase Announcements, with Sanjiv Sabherwal, Salil K. Sarkar, and Xu Wang. *Journal of Emerging Financial Markets* 2 (1), 11-28, 2011.

## Working Papers

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Regulation Fair Disclosure and management earnings forecasts, with Yan Sun. 3<sup>rd</sup> round under review at *Journal of Business, Finance, and Accounting*. Presented at 2016 AAA and CAAA Annual Conferences and 2015 AAA Midwest Region Meeting.

IVR system efficiency and tax compliance: A field experiment by the California Franchise Tax Board, with John McGowan and Allen Prohofsky. 3<sup>rd</sup> round revise and resubmit at *JATA*. Presented at 2018 American Taxation Association Midyear Meeting and 2018 American Accounting Association Annual Meeting.

Information asymmetry and SFAS 157 fair value hierarchy information. **Solo authored.**

SFAS 133 and bank income smoothing. **Solo authored.**

## Work in Progress

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Does managers' short-horizon earnings guidance affect their long-horizon earnings forecasts? Preliminary analysis complete.

Media attention and management earnings forecasts, with Bidisha Chakrabarty, and Yan Sun.

Litigation risk and bad news disclosures. Data analysis stage.

Sarbanes-Oxley Act and management forecasts. Data analysis stage.

## Teaching Experience

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Saint Louis University

Federal Income Taxation: Individual Income Tax

Financial Reporting II (Intermediate Accounting)

Financial Accounting Intro

Becker CPA Review Course

## Presentations

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AAA Annual Meeting, 2018 (Presented "Tax collection and IVR system efficiency: A field experiment by the California Franchise Tax Board")

American Taxation Association Midyear Meeting, 2018 (Presented "Tax collection and IVR system efficiency: A field experiment by the California Franchise Tax Board")

CAAA Annual Meeting, 2017 (Presented "Investor sentiment and management forecast bias")

AAA Annual Meeting, 2016 (Presented "Regulation Fair Disclosure and management forecasts" and "Investor sentiment, management forecast bias, and stock misvaluation")

Journal of Contemporary Accounting & Economics Midyear Symposium, 2016 (Presented "The understatement of large bad news in management earnings forecasts")

CAAA Annual Meeting, 2016 (Presented "Regulation Fair Disclosure and management forecasts")

Saint Louis University 2016, 2011

AAA Annual Meeting, 2015 (Presented "Audit fees and managerial overconfidence")

AAA Midwest Annual Meeting, 2015 (“Presented “The understatement of large bad news in management earnings forecasts” and “Regulation Fair Disclosure and management forecasts”)  
University of Denver, 2011  
University of Maryland, College Park 2011  
State University of New York at Binghamton, 2011  
College of William and Mary, 2011  
University of North Carolina at Charlotte, 2011  
Singapore Management University, 2011  
Nanyang Technological University, Singapore, 2011  
Columbia University, 2010, 2007  
AAA Annual Meeting, 2010 (Presented “Is bad news really more credible than good news? Evidence from management forecasts”, Discussant for “Hedge funds: pricing controls and the smoothing of self-reported returns” by Gavin Cassar, U. of Pennsylvania and Joseph Gerakos, U. of Chicago)  
AAA Northeast Region Meeting 2009 (Presented “Is bad news really more credible than good news? Evidence from management forecasts”)  
AAA Mid-Atlantic Region Meeting 2009 (Presented “Is bad news really more credible than good news? Evidence from management forecasts”)  
Review of Accounting Studies Conference, 2006

### **Media Coverage of My Research**

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The findings of my solo-authored article “The understatement of large negative earnings news in managers’ annual guidance” (published in the *Journal of Contemporary Accounting & Economics*) were reported in multiple press releases, including:

Forbes, Kiplinger’s, Investment News, Accounting Today, WebCPA

### **Awards**

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NoKyoon Kwak Faculty Research Award – Best Paper, Saint Louis University, 2018  
Competitive Summer Research Grant, Saint Louis University, 2018

### **Service to the Profession**

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Ad-hoc reviewer *Journal of Accounting & Public Policy*, 2020  
Ad-hoc reviewer *Journal of Contemporary Accounting & Economics*  
Reviewer and discussant of submissions to AAA Annual Conferences and regional meetings  
Reviewer of submissions to CAAA Annual Conferences

### **Service to Saint Louis University**

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Chaifetz School Workload Policy Committee, September 2021 to present  
Chaifetz School Jr. Faculty Mentor Task Force, September 2021 to present  
Finance Department New Hire Search Committee, 2021  
University Academic Record Revision Committee, September 2018 to present  
Chaifetz School Research & Scholarship Committee, September 2019 to present  
Faculty Advisor of Student Center for Public Trust (SLU chapter of the National StudentCPT), 2016-present  
Accounting Enrollment Task Force Chair, October 2019 to present  
Faculty reviewer of the launch of Sharepoint and its continued improvement, since spring 2019

Faculty Search Committee for the Accounting Department, 2017  
Undergraduate Board, 2015  
Instructor for Becker CPA Review Course, 2015-present (a 3-credit course with voluntary teaching, does not count as teaching load)  
Incorporate research into teaching task force, 2015-present

### **Professional Development**

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KPMG TaxWatch Live Session, Ritz-Carlton Saint Louis, June 2019  
Focus on Teaching & Technology Conference, University of Missouri - St. Louis, September 27-28, 2018  
KPMG TaxWatch Live Session, Ritz-Carlton Saint Louis, June 14, 2018  
Intensive Data and Analytics Summer Workshop for Accounting Courses and Programs, Orlando, Florida, June 2018  
New Faculty Consortium, Virginia, 2013  
Master Teacher Program at Georgia State University, Atlanta, 2012  
SLU Academic Portfolio Retreat, 2012  
Teaching Excellence events hosted by SLU Reinert Center

### **Professional Affiliations**

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Member of American Accounting Association, 2007-present  
Member of American Taxation Association, 2018  
Member of Canadian Accounting and Academics Association, 2016-2017  
Member of Chinese Institute of Certified Public Accountants